

# Key reconciliation protocol application to error correction in silicon PUF responses

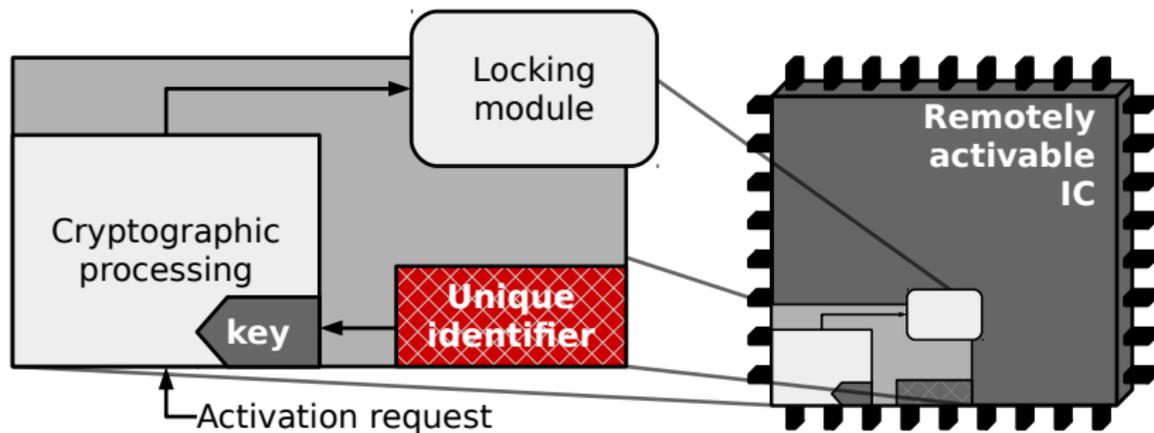
Brice COLOMBIER\*, Lilian BOSSUET\*, David HÉLY<sup>+</sup>

\* Laboratoire Hubert Curien  
Saint-Étienne — France

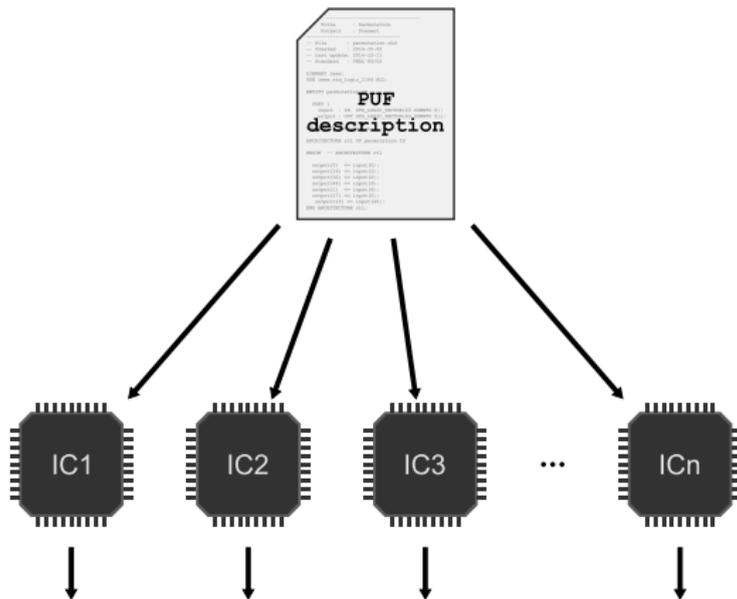
<sup>+</sup> LCIS, Grenoble Institute of Technology  
Valence — France

June 23, 2016

*Cryptarchi workshop*



<sup>1</sup><http://www.univ-st-etienne.fr/salware/>



**Different** responses to the **same** challenge.

## Principle:

Extract entropy from **process variations**.

## Aim:

Provide a unique, per-device ID, thanks to the **inter-device uniqueness**.

## Problem:

PUF responses to the **same** challenge **change** over time.

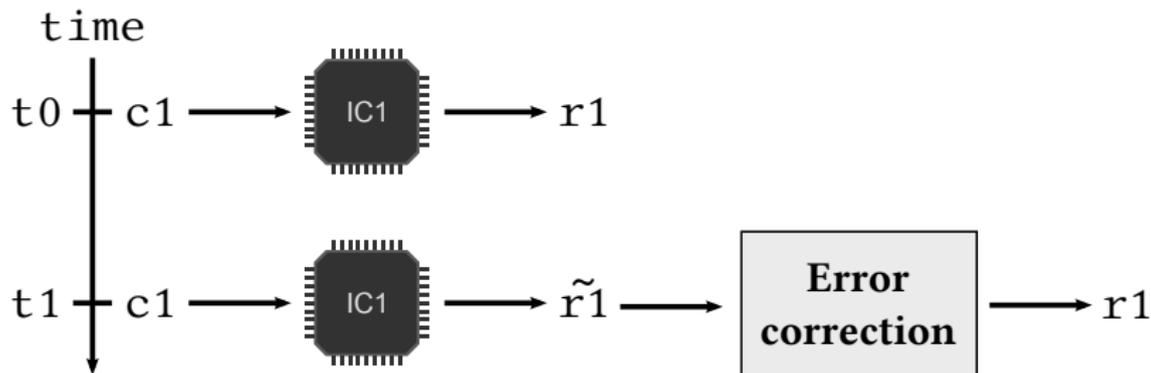
This variation depends on multiple parameters:

- PUF architecture,
- Process node,
- Aging,
- Temperature,
- Environment...

→ It prevents the PUF response from being used as a **key**.

## Solution:

Correct the PUF response.



## Requirements for the error correction module:

- Low area,
- High correction probability.

Several error-correcting code implementations exist:

Article	Construction and code(s)	Logic resources (Xilinx Slices)	
		Xilinx Spartan 3	Xilinx Spartan 6
2	Concatenated: Repetition and BCH		<b>221</b>
3	Reed-Muller		<b>179</b>
4	BCH		<b>&gt;59</b>
5	Concatenated: Repetition and Reed-Muller	<b>168</b>	

<sup>2</sup>R. Maes et al. “PUFKY: A Fully Functional PUF-Based Cryptographic Key Generator”. *CHES*. 2012.

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This work	CASCADE protocol	<b>69</b>	<b>19</b>

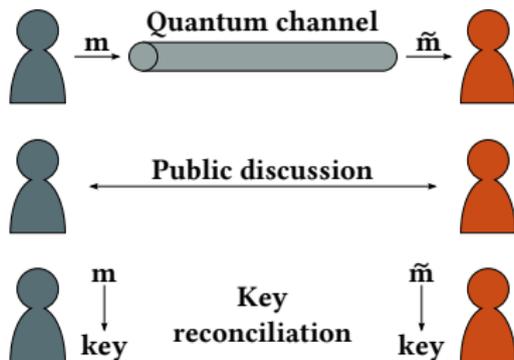
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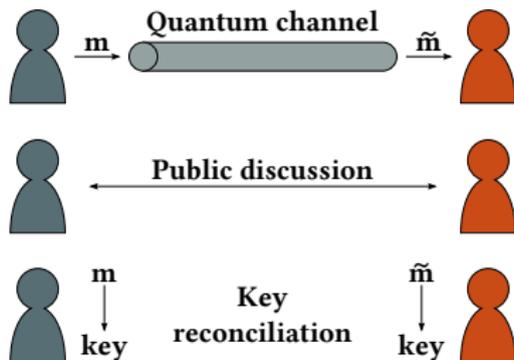
CASCADE introduced in 1993 by Brassard and Salvail<sup>6</sup>



The final key is **shorter** than the original message.

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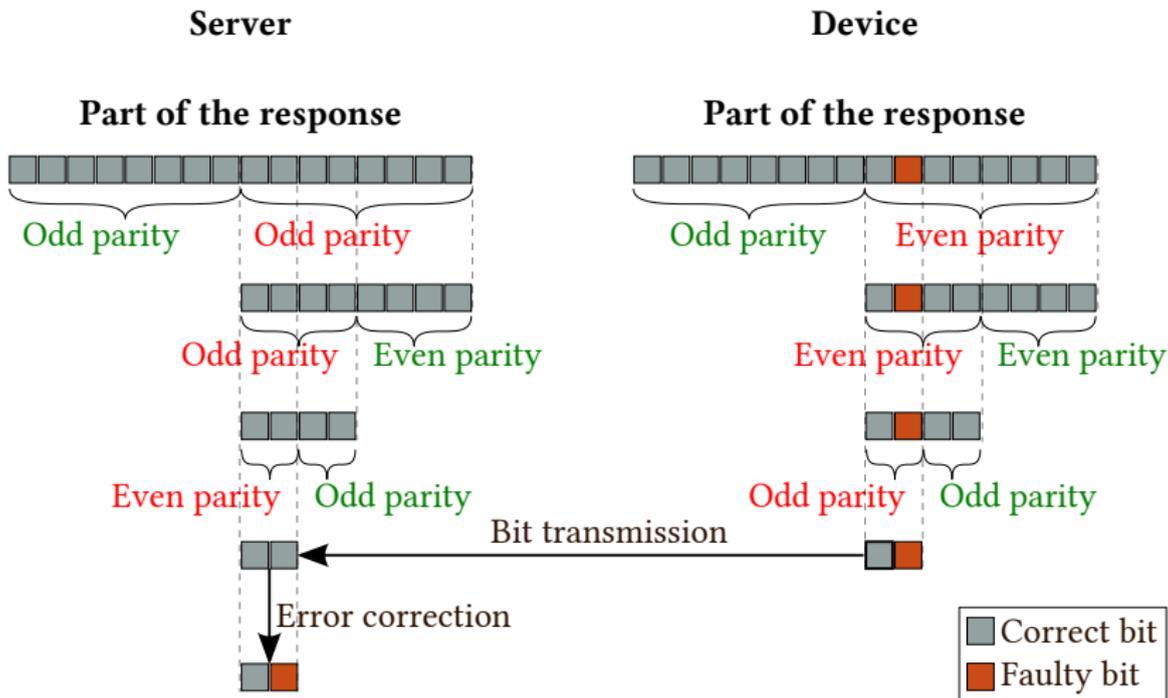


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This could be used to derive keys from slightly different PUF responses.

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Works on **parts** of the responses that have a **different parity**.



Allows to correct **one error**.

**Backtracking** can be used to leak fewer bits.

After a pass, all the blocks have an **even** relative parity.

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→ **one more** error from this block can be corrected.

## Example:

12	14	4	7	9	0	13	5
----	----	---	---	---	---	----	---

Parity check does not detect these errors.

If, **in a subsequent pass**, the error 9 is corrected:

→ The block can be **processed again** to correct error 13.

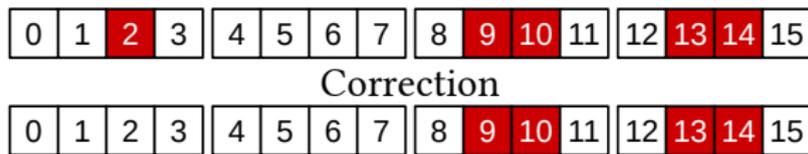
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relative parity:

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Blocks of odd relative  
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Two ways of leaking information:

- Relative parity computations,
  - 1 bit.
- CONFIRM executions on an  $n$ -bit block.
  - $\log_2(n)$  bits.

Two ways of leaking information:

- Relative parity computations,
  - 1 bit.
- CONFIRM executions on an  $n$ -bit block.
  - $\log_2(n)$  bits.

## Example:

**128-bit** response,  $\epsilon = 0.05 \rightarrow 7$  errors.

- 1<sup>st</sup> pass: **8-bit blocks**, **4 errors corrected**.
- 2<sup>nd</sup> pass: **16-bit blocks**, **3 errors corrected**.

Leakage:  $\frac{128}{8} + 4 \times \log_2(8) + \frac{128}{16} + 3 \times \log_2(16) = 48$  bits.

The final effective length of the response is  $128 - 48 = \mathbf{80}$  bits.

What is the lower bound on the information leakage?

It is related to the conditional entropy<sup>7</sup>  $H(r_t|r_0) = nh(\varepsilon)$  where:  $\varepsilon$  is the error rate and  $n$  is the response length.

$$h(\varepsilon) = -\varepsilon \cdot \log_2(\varepsilon) - (1 - \varepsilon) \cdot \log_2(1 - \varepsilon)$$

The best length we can expect for the final response is then:

$$n - nh(\varepsilon) = n(1 - h(\varepsilon))$$

## Examples:

With a 128-bit response and a 5% error rate: 91 bits.

With a 128-bit response and a 10% error rate: 67 bits.

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<sup>7</sup>J. Martinez-Mateo et al. "Demystifying the Information Reconciliation Protocol CASCADE". (2015).

How to set the CASCADE parameters?

- **Initial block size:** depends on the error rate.
- **Number of passes:** depends on the required correction success rate.
- **Block size multiplier:** x2 at each pass.

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## Solution

Add extra passes **without increasing** the block size.

Several realistic PUF references:

- RO PUF in FPGA  $\varepsilon = 0.9\%$ <sup>8</sup>.
- TERO PUF in FPGA  $\varepsilon = 1.8\%$ <sup>9</sup>.
- SRAM PUF in ASIC  $\varepsilon = 5.5\%$ <sup>10</sup>.

256-bit responses, aim for 128-bit security

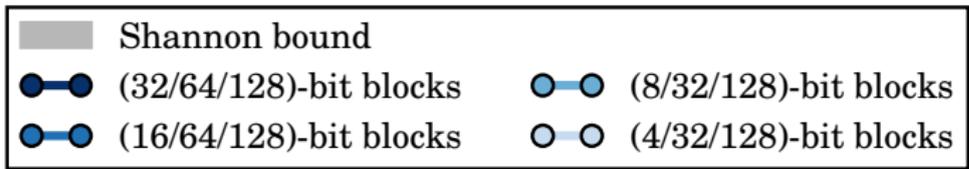
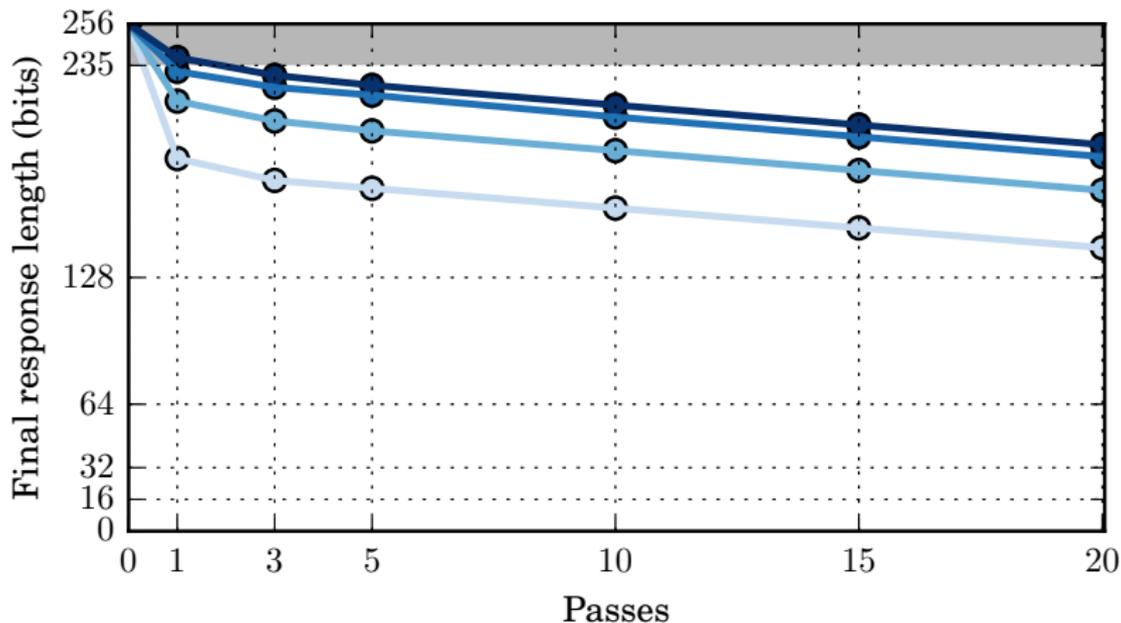
Simulation carried out on 2 500 000 responses.

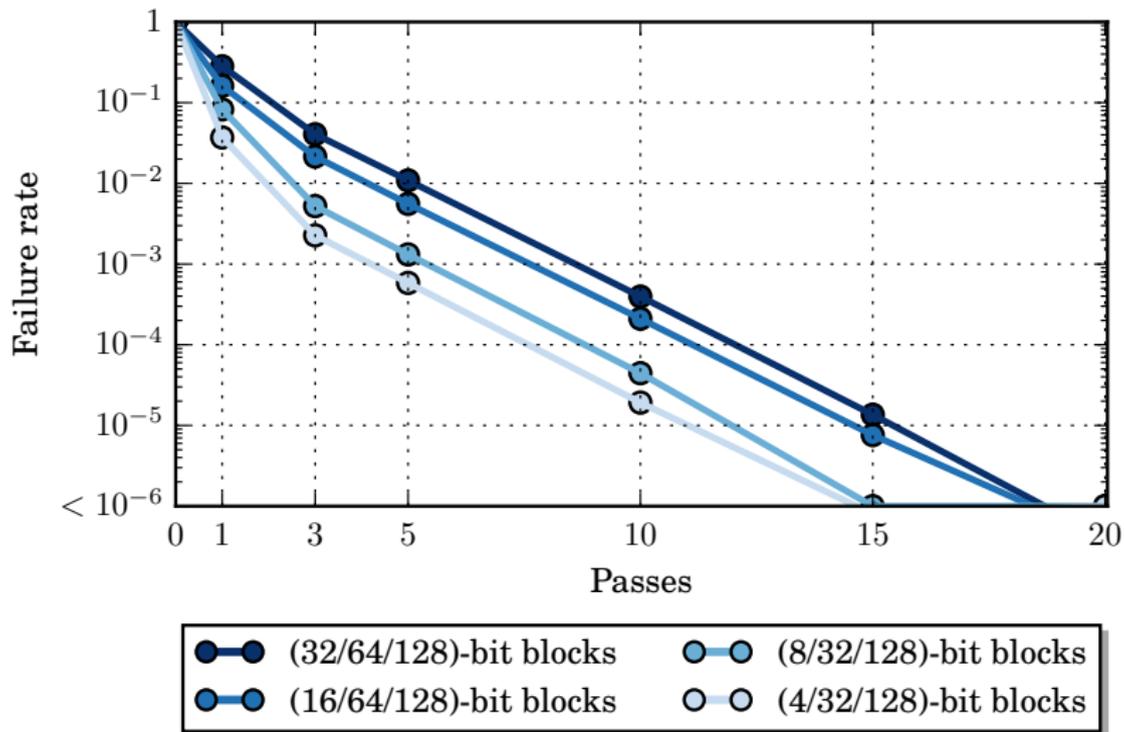
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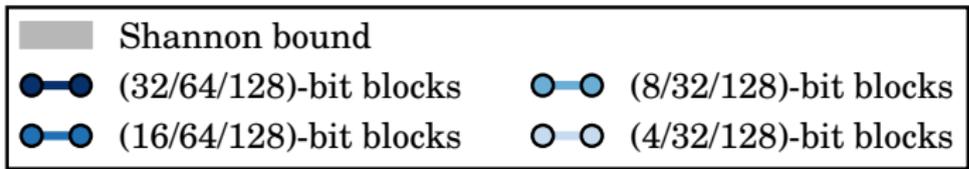
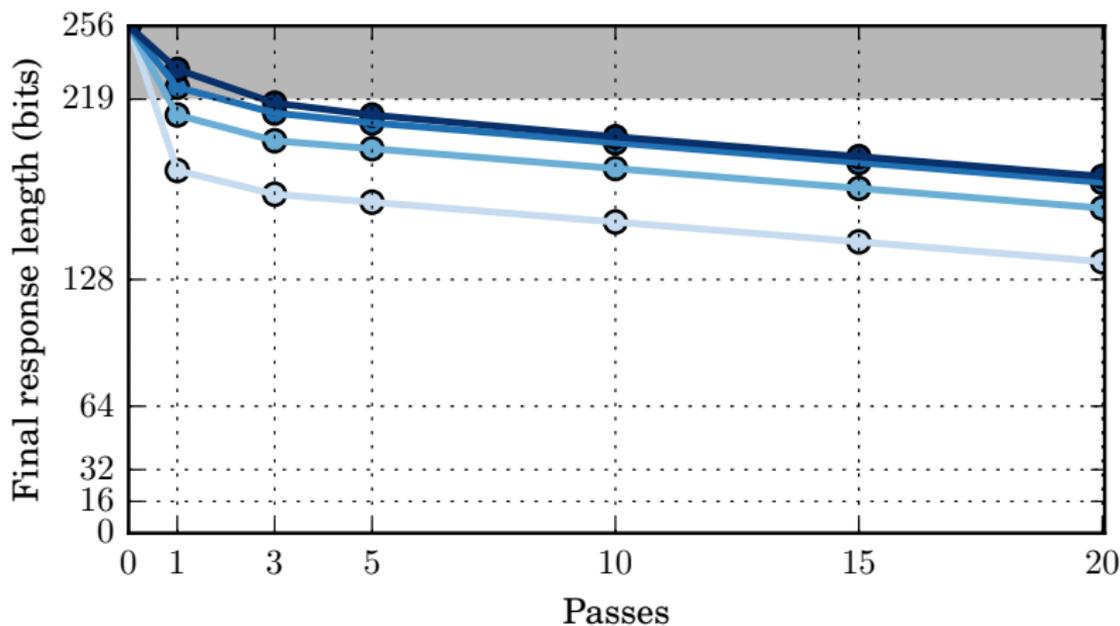
<sup>8</sup>A. Maiti et al. “A large scale characterization of RO-PUF”. . *HOST*. 2010.

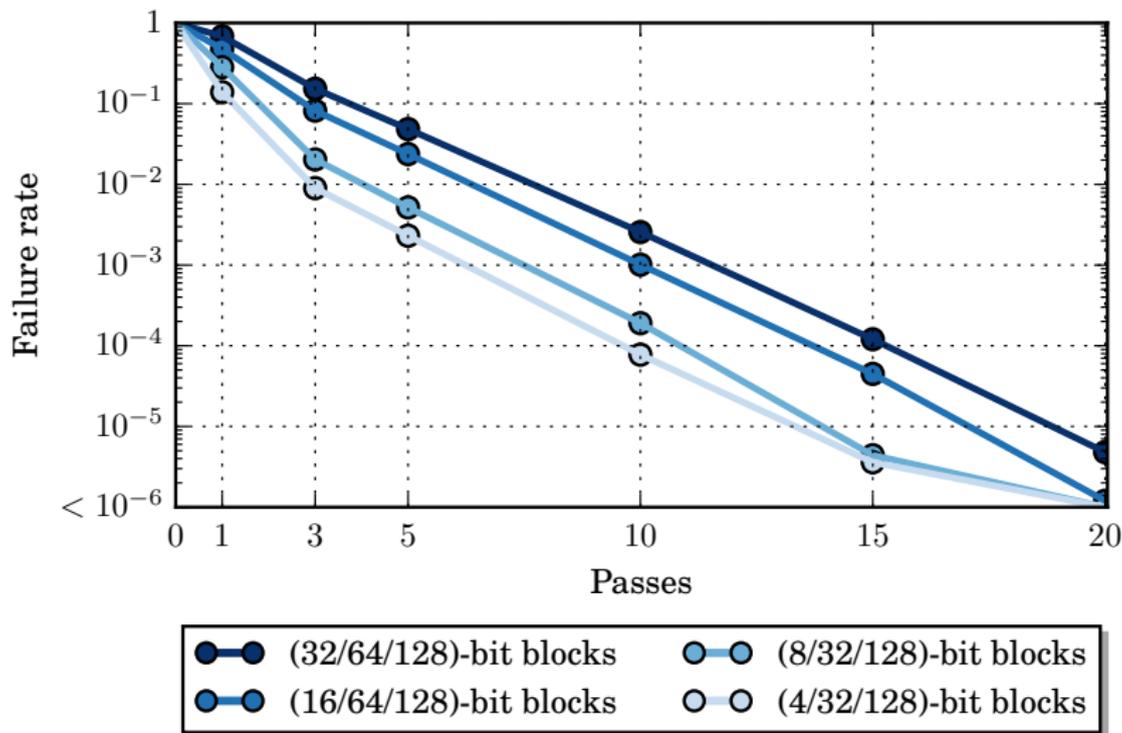
<sup>9</sup>C. Marchand et al. “Enhanced TERO-PUF Implementations and Characterization on FPGAs”. *International Symposium on FPGAs*. ACM, 2016.

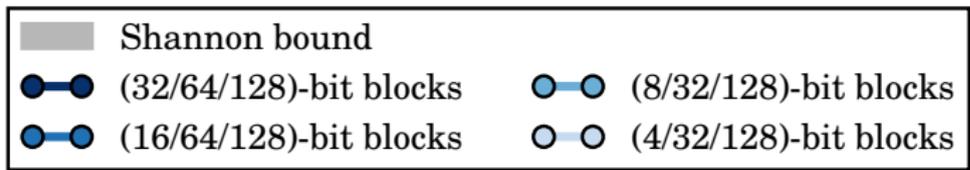
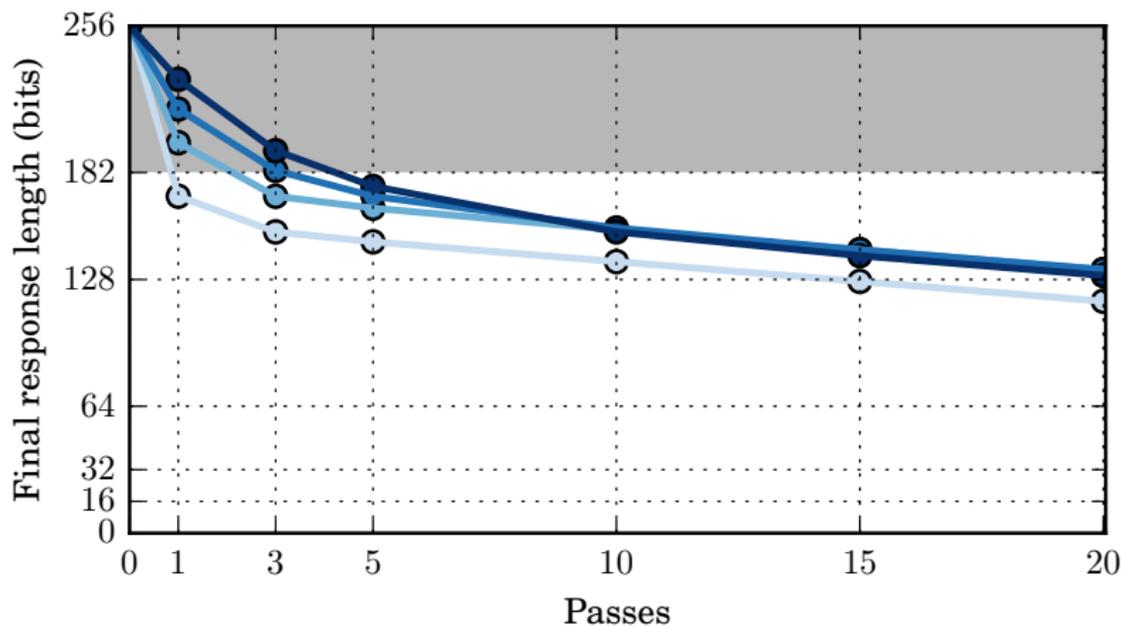
<sup>10</sup>M. Claes et al. “Comparison of SRAM and FF-PUF in 65nm Technology”. *Nordic Conference on Secure IT Systems*. 2011.

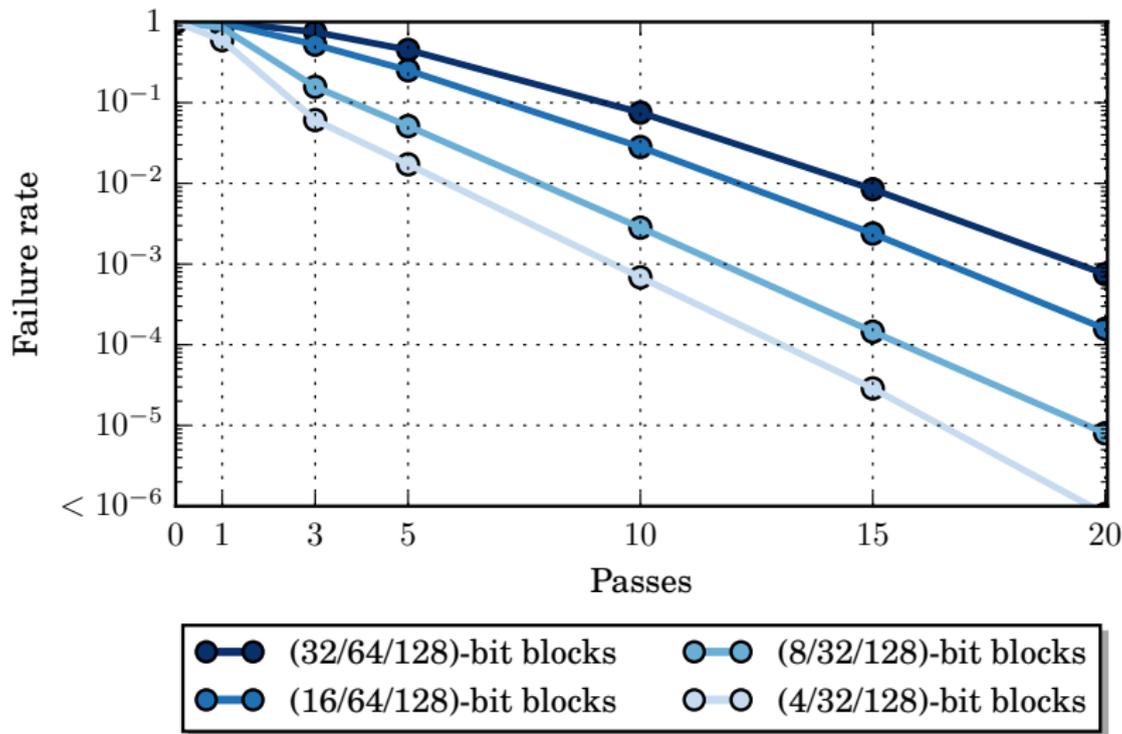




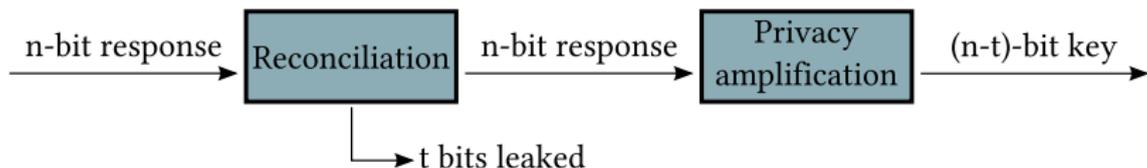








From an  $n$ -bit response, if  $t$  bits are leaked, it is possible to obtain an  $(n - t)$ -bit secret key.



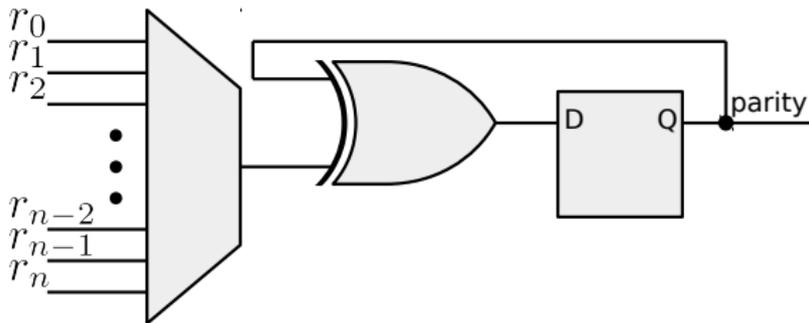
A **hash function** can be used for privacy amplification<sup>11</sup>.

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<sup>11</sup>R. Impagliazzo, L.A. Levin and M. Luby, *Pseudo-random Generation from one-way functions*, 21st Annual Symposium on Theory of Computing, 1989.

Only **parity computations** are embedded.

All other computations can be done **on the server**.

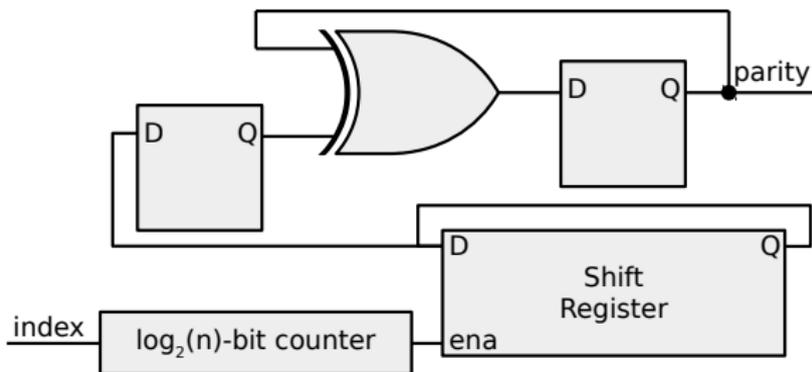


## Requirements:

- Multiplexer,
- One XOR gate,
- One D flip-flop.

## 256-bit response:

- Xilinx Spartan 6: 19 Slices,
- Altera Cyclone V: 20 LABs.



## Requirements:

- Shift register,
- One counter,
- One XOR gate,
- Two D flip-flops.

## 256-bit response:

Shift register already present:

- Xilinx Spartan 6: 3 Slices,
- Altera Cyclone V: 2 LABs.

IP core activation procedure:

	Server		Device $i$
at $t = 0$	Generates challenge $c_i$	$\xrightarrow{c_i}$	
enrolment			$r_0 \leftarrow PUF(c_i)$
		$\xleftarrow{r_0}$	
	Stores $r_0$		
at $t = t_1$		$\xrightarrow{c_i}$	Requests activation
activation			$r_{t_1} \leftarrow PUF(c_i)$
		$r_0$	$r_{t_1}$
		$K \leftarrow PA(r_{t_1})$	$K \leftarrow PA(r_{t_1})$
	Encrypts $UW$ with $K$	$\xrightarrow{[UW]_K}$	
			Decrypts $UW$
			Activates by unlocking

*CASCADE*  
 $\longleftrightarrow$   
*Privacy amplification*

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- few on-chip logic resources,
- can reach very low failure rates,
- very tunable depending on the expected error-rate

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— Questions? —